

07-23-01

A

07/20/01  
1000 U.S. PTO  
09/909691

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No: 28341/00222.US1

1000 U.S. PTO  
09/909691  
07/20/01

**PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53**

**Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231**

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Ping Gao, Walter Morozovich and Narmada Shenoy

Title: Self-Emulsifying Drug Delivery Systems for Extremely Water-Insoluble, Lipophilic Drugs

**1. Type of Application**

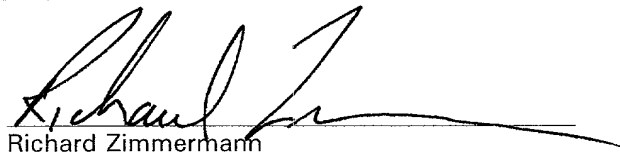
- ☒ This is a new application for a
  - ☒ utility patent.
  - ☐ design patent.

**2. Application Papers Enclosed**

- 1 Title Page
- 24 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 7 Pages of Claims
- 1 Page of Abstract

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **July 20, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL 827657733 US.

  
Richard Zimmermann

09909691, 072001

3. Declaration or Oath

- ☒ Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicants. An executed declaration will follow.

4. Additional Papers Enclosed

- ☒ Return receipt postcard

5. Priority Applications Under 35 USC 119

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

- ☒ will follow.

COUNTRY	APPLICATION NO.	FILED
United States	60/220,376	July 24, 2000

6. Filing Fee Calculation (37 CFR 1.16)

- A. ☒ Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355.00		\$710.00
TOTAL	35 -20	= 15	X 9 =	\$	X 18 =	\$270.00
INDEP.	3 - 3	= 0	X 40 =	\$	X 80 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$	+ 270 =	\$
Filing Fee:				\$	OR	\$980.00

Total Fees Enclosed \$980.00

7. Method of Payment of Fees

- ☒ Enclosed check in the amount of: \$980.00

8. Deposit Account and Refund Authorization

☒ The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

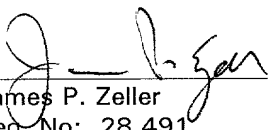
**9. Correspondence Address**

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
James P. Zeller  
Reg. No: 28,491

July 20, 2001